



**STATE OF CALIFORNIA  
DIVISION OF WORKERS' COMPENSATION  
WORKERS' COMPENSATION APPEALS BOARD**

**COMPROMISE AND RELEASE  
(Dependency claim)**

\_\_\_\_\_  
Case Number 1

\_\_\_\_\_  
Case Number 4

\_\_\_\_\_  
Case Number 2

\_\_\_\_\_  
Case Number 5

\_\_\_\_\_  
Case Number 3

\_\_\_\_\_  
SSN (Numbers Only)

**Venue Choice is based upon: (Completion of this section is required)**

- Residence of employee (Labor Code section 5501.5(a)(1).)
- Location where injury occurred (Labor Code section 5501.5(a)(2).)
- Principal address of employee's attorney (Labor Code section 5501.5(a)(3).)

\_\_\_\_\_  
Select 3 Digit Office Code For Place/Venue of Hearing (From Instruction Sheet)

**Employee (Completion of this section is required)**

\_\_\_\_\_  
First Name

\_\_\_\_\_  
MI

\_\_\_\_\_  
Last Name

\_\_\_\_\_  
Address/PO Box (Please leave blank spaces between numbers, names or words)

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

**Employer (Completion of this section is required)**

\_\_\_\_\_  
Name

\_\_\_\_\_  
Address/PO Box (Please leave blank spaces between numbers, names or words)

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

**Insurance Carrier Information (if known and if applicable - include even if carrier is adjusted by claims administrator)**

Insurance Carrier Name (Please leave blank spaces between numbers, names or words)

Insurance Carrier Street Address/PO Box (Please leave blank spaces between numbers, names or words)

City

State

Zip Code

**Claims Administrator Information (if known and if applicable)**

Name (Please leave blank spaces between numbers, names or words)

Street Address/PO Box (Please leave blank spaces between numbers, names or words)

City

State

Zip Code

1. The below - named dependant(s) \_\_\_\_\_ claim \_\_\_\_\_ that \_\_\_\_\_  
( NAME OF EMPLOYEE )  
while employed at \_\_\_\_\_ on \_\_\_\_\_  
( NAME OF EMPLOYER ) Date of Injury: MM/DD/YYYY  
by \_\_\_\_\_ , then insured as to worker's compensation liability  
by \_\_\_\_\_ sustained injury arising out of and in the course of such  
( STATE NAME OF CARRIER OR WHETHER SELF - INSURED )  
employment as follows: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

2. The death of the said employee occurred on \_\_\_\_\_ , as a result of the claimed injury.  
Date of Employee Death: MM/DD/YYYY

3. The actual weekly wages of the employee at the time of claimed injury were, \_\_\_\_\_ , while  
average weekly wages ( statutory ) were \_\_\_\_\_

4. Payments of compensation to the employee in his lifetime on the account of the claimed injury were \_\_\_\_\_

5. The applicant herein claim to have been dependent upon said employee at the time of claimed injury and state the name, ages, relationship to, and the extent of dependency upon the deceased employee to have been as follows :

**Dependent # 1 of Employee**

\_\_\_\_\_  
First Name

\_\_\_\_\_  
MI

\_\_\_\_\_  
Last Name

\_\_\_\_\_  
Age

\_\_\_\_\_  
Relationship

Extent of dependency  Partial  Total

**Dependent # 2 of Employee**

\_\_\_\_\_  
First Name

\_\_\_\_\_  
MI

\_\_\_\_\_  
Last Name

\_\_\_\_\_  
Age

\_\_\_\_\_  
Relationship

Extent of dependency  Partial  Total

**Dependent # 3 of Employee**

\_\_\_\_\_  
First Name

\_\_\_\_\_  
MI

\_\_\_\_\_  
Last Name

\_\_\_\_\_  
Age

\_\_\_\_\_  
Relationship

Extent of dependency  Partial  Total

6. The parties hereby agree to settle any and all claims of said dependent on account of the claimed injury and the death of said employee by the payment of sum of \$ \_\_\_\_\_, payable as follows to \_\_\_\_\_

7. The parties hereby agree ( if such items of expense be claimed ) that medical, hospital and burial expense required by reason of alleged injury and death of employee shall be borne as follows: \_\_\_\_\_

8. **Is the Applicant Represented?:**  Yes  No if "No", applicant is to sign and date below.

if "Yes", applicant's representative is to complete the following and is to sign and date below

Law Firm/Attorney  Non Attorney Representative

\_\_\_\_\_  
Law Firm or Company Name (If Applicable)

\_\_\_\_\_  
Law Firm Number (If Applicable)

\_\_\_\_\_  
Attorney/Rep First Name

\_\_\_\_\_  
MI

\_\_\_\_\_  
Attorney/Rep Last Name

\_\_\_\_\_  
Street Address/PO Box (Please leave blank spaces between numbers, names or words)

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

who requested a fee of \$ \_\_\_\_\_, having been previously paid \$ \_\_\_\_\_.

9. Reason for compromise \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

10. The undersigned request that this compromise agreement and release be approved.

11. Upon the approval of this compromise agreement as provided by law, and payment in accordance with the provision of the said order of approval, said applicants and each of them do hereby release and forever discharge said employer and said insurance company of and from all claims, demands, actions or causes of action, of every kind or nature whatsoever on account of, or by reason of injury and death sustained as aforesaid by the employee, and in particular of any, all and every claim or cause of action which the undersigned, heirs, executors, representatives, and administrators may have had, now have, or shall hereafter have against said employer, said insurance carrier, and each of them under Division 4 of the Labor Code of the State of California.

12. It is agreed by all parties hereto that the filing of this document is filing of an application on behalf of the applicant and that it may be set for hearing as a regular application, reserving to the parties the right to put in issue any of the facts admitted herein, and that if hearing is held with this document used as an application the defendants shall have available to them all defenses that were available as of date of filing this document, and that it may thereafter be approved, disapproved, or a decision issued after a hearing has been held and the matter regularly submitted.

13. For the purpose of determining the lien claim filed herein for the unemployment compensation disability and / or unemployment compensation benefits which have been paid under or pursuant to California Unemployment Insurance Code, the parties propose the following division of sum agreed upon for settlement and release of this case:

\$ \_\_\_\_\_ for temporary disability covering the period \_\_\_\_\_ to \_\_\_\_\_

\$ \_\_\_\_\_ for accrued medical expense paid or incurred by the employee.

\$ \_\_\_\_\_ for future medical care.

\$ \_\_\_\_\_ for permanent disability.

(The above segregation must be fair and reasonable and must be based on the real facts of the case. There should be no attempt made to deprive the lien claimant of a reasonable recovery consistent with all amounts involved.)

Witness the execution hereof this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, at

\_\_\_\_\_  
Witness 1 (Date)

\_\_\_\_\_  
Applicant (Employee) (Date)

\_\_\_\_\_  
Witness 2 (Date)

\_\_\_\_\_  
Attorney for Applicant (Date)

\_\_\_\_\_  
Interpreter (Date)

\_\_\_\_\_  
Attorney for Defendant (Date)

# ACKNOWLEDGMENT

State of California  
County of \_\_\_\_\_)

On \_\_\_\_\_ before me, \_\_\_\_\_  
(insert name and title of the officer)

personally appeared \_\_\_\_\_,  
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are  
subscribed to the within instrument and acknowledged to me that he/she/they executed the same in  
his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the  
person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing  
paragraph is true and correct.

WITNESS my hand and official seal.

Signature \_\_\_\_\_ (Seal)