

STATE OF CALIFORNIA  
DEPARTMENT OF INDUSTRIAL RELATIONS  
WORKERS' COMPENSATION APPEALS BOARD

NOTICE OF PROPOSED RULEMAKING  
RULES OF PRACTICE AND PROCEDURE

**TITLE 8, CALIFORNIA CODE OF REGULATIONS, SECTIONS 10770 (AMENDED) AND 10770.7 (NEWLY ADOPTED)**

**NOTICE IS HEREBY GIVEN** that the Workers' Compensation Appeals Board (WCAB) proposes to adopt and amend its Rules of Practice and Procedure (Rules),<sup>1</sup> as described below, after considering all comments, objections, and recommendations regarding the proposed action. *Although equal weight will be accorded to oral and written comments, **the WCAB prefers written comments to oral testimony and prefers written comments submitted by e-mail. If written comments are timely submitted, it is not necessary to present oral testimony at the public hearing.***

The WCAB's proposed adoption and amendment to its Rules are being initiated pursuant to its rulemaking power under Labor Code sections 5307(a), 133, 5309 and 5708,<sup>2</sup> subject to the procedural requirements of section 5307.4. This Notice of Proposed Rulemaking and the accompanying Initial Statement of Reasons have been prepared to comply with the procedural requirements of section 5307.4 and for the convenience of the regulated public to assist it in analyzing and commenting on this largely non-APA rulemaking process.<sup>3</sup>

**PUBLIC HEARING:**

The WCAB will hold a public hearing starting at **10:00 AM on Wednesday, January 4, 2017**, in the Milton Marks Conference Center, Santa Barbara Room of the Hiram Johnson State Office Building located at 455 Golden Gate Avenue, San Francisco, California. At the hearing, any person may present statements or arguments orally or in writing relevant to the proposed action. Public comment will begin promptly at 10:00 AM and will conclude when the last speaker has finished his or her presentation. To provide everyone with an opportunity to speak, public testimony will be limited to 10 minutes per speaker and should be specific to the proposed regulations. Testimony which would exceed 10 minutes may be submitted in writing. If public comment concludes before the Noon recess, no afternoon session will be held.

The state office building and its hearing rooms are accessible to persons with mobility impairments. Alternate formats, assistive listening systems, sign language interpreters, or other type of reasonable accommodations to facilitate effective communication for persons with disabilities, are available upon request. Please contact the Statewide Disability Accommodation Coordinator at 1-866-681-1459 (toll free), or through the California Relay Service by dialing 711 or 1-800-735-2929 (TTY/English) or 1-800-855-3000 (TTY/Spanish) as soon as possible to request assistance.

The WCAB requests but does not require that persons who make oral comments at the hearing also submit a written copy of their comments at the hearing.

**WRITTEN COMMENT PERIOD:**

Any interested persons, or their authorized representatives, may submit written comments to the WCAB relevant to the proposed rulemaking. The written comment period closes at **5:00 PM on Wednesday, January 4, 2017**. The WCAB will consider only comments it has *received* by that time.

---

<sup>1</sup> See Cal. Code Regs., Title 8, Division 1, Chapter 4.5, Subchapter 1.9, section 10213 et seq., and Subchapter 2, section 10300 et seq.

<sup>2</sup> All further statutory references are to the Labor Code unless otherwise specified.

<sup>3</sup> Under Government Code section 11351, the WCAB is not subject to Article 5 (Gov. Code, § 11346 et seq.), Article 6 (*id.* § 11349 et seq.), Article 7 (*id.* § 11349.7 et seq.) or Article 8 (*id.* § 11350 et seq.) of the rulemaking provisions of the Administrative Procedures Act (APA), with the sole exception that section 11346.4(a)(5) [publication in the California Regulatory Notice Register] does apply to the WCAB.

The address for submission of comments by e-mail is [WCABRules@dir.ca.gov](mailto:WCABRules@dir.ca.gov).

The address for submission of comments by mail is:

Workers' Compensation Appeals Board  
Attention: Annette Gabrielli, Regulations Coordinator  
P.O. Box 429459  
San Francisco CA 94142-9459

The address for submission of comments by delivery service or personal delivery is:

Workers' Compensation Appeals Board  
Attention: Annette Gabrielli, Regulations Coordinator  
455 Golden Gate Avenue, Ninth Floor  
San Francisco, CA

Comments also may be submitted by facsimile (Fax) at 1-415-703-4549.

#### **AUTHORITY AND REFERENCE:**

Labor Code sections 5307(a), 133, 5309 and 5708 authorize the WCAB to adopt the proposed regulations. The proposed regulations implement, interpret and make specific various sections of the Labor Code.

#### **DISCLOSURES REGARDING THE PROPOSED REGULATORY ACTION:**

The WCAB has made the following initial determinations:

Mandate on Local Agencies and School Districts: None.

Cost to Any Local Agency or School District That Is Required To Be Reimbursed Under Part 7 (Commencing with Section 17500) of Division 4 of the Government Code: None.

Other Nondiscretionary Costs or Savings to Local Agencies: None.

Cost or Savings to Any State Agency or in Federal Funding to the State: None.

Significant Statewide, Adverse Economic Impact Directly Affecting Business, Including the Ability of California Businesses to Compete With Businesses in Other States: None.

Effect on Small Business: None.

Cost Impacts on Representative Private Persons or Businesses: None.

Other Impacts on Jobs and Businesses: None.

Effect on Housing Costs: None.

The adoption of these regulations is not expected to create or eliminate jobs or businesses in the State of California or reduce or expand businesses currently doing business in the State of California.

#### **CONSIDERATION OF ALTERNATIVES:**

Under Government Code section 11351, the WCAB is *not* subject to the provisions of Government Code section 11346.5(a)(13). Nevertheless, the WCAB invites interested persons to present statements or arguments at the scheduled hearing or during the written comment period regarding reasonable alternatives that would be

more effective in carrying out the purpose of this rulemaking, or would be as effective and less burdensome to the affected private persons, than the proposed action of this rulemaking.

**PRE-NOTICE PUBLIC DISCUSSIONS OF PROPOSED REGULATIONS:**

Under Government Code section 11351, the WCAB is *not* subject to the provisions of Government Code section 11346.45 relating to pre-notice public review and comment of contemplated amendments to its Rules.

**CONTACT PERSONS:**

Requests to be added to the e-mail and/or mail distribution list(s) or requests for copies of rulemaking documents (e.g., the proposed regulations, the Initial Statement of Reasons) or other non-substantive inquiries concerning these rulemaking documents may be directed to: Annette Gabrielli, Regulations Coordinator, Workers' Compensation Appeals Board, P.O. Box 429459, San Francisco, CA 94142-9459, E-mail: [WCABRules@dir.ca.gov](mailto:WCABRules@dir.ca.gov), Phone: (415) 703-4539. All other inquiries should be in writing by either e-mail or mail.

**AVAILABILITY OF INITIAL STATEMENT OF REASONS, TEXT OF PROPOSED REGULATIONS, RULEMAKING FILE, AND INTERNET ACCESS:**

Throughout the rulemaking process, the WCAB will have its entire rulemaking file available for inspection and copying at its office at 455 Golden Gate Avenue, 9th Floor, San Francisco, CA 94102, between the hours of 9:00 AM and 4:30 PM, Monday through Friday (excluding holidays). In addition, the above-cited materials may be accessed on the internet at <http://www.dir.ca.gov/wcab/WCABProposedRegulations/Section10770/Section10770.htm>. As of the date of this Notice, the rulemaking file consists of this Notice, the Initial Statement of Reasons, the proposed text of the regulations, and the Form 399.

**AUTOMATIC MAILING:**

A copy of this Notice, the Initial Statement of Reasons, and the text of the proposed regulations will automatically be sent to those interested persons on the mailing list of the WCAB, and to all persons who have requested notice of hearing as required by Labor Code Section 5307.4.

If adopted, the regulations with any final amendments will appear in the California Code of Regulations at Title 8, Division 1, Chapter 4.5, Subchapter 2, Sections 10770 (amended) and 10770.7 (newly adopted). The text of the final regulations also may be available through the website of the Office of Administrative Law at [www.oal.ca.gov](http://www.oal.ca.gov).